

**UTILITY PATENT APPLICATION TRANSMITTAL  
(Small Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.  
D-8139

Total Pages in this Submission

**TO THE ASSISTANT COMMISSIONER FOR PATENTS****Box Patent Application  
Washington, D.C. 20231**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for invention entitled:

**FOLDABLE MODULAR LIGHT DIFFUSION BOX**

and invented by:

**BRUCE L. FINN and ROBERT E. LEE**If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☒ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/642,315

Which is a:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/146,063

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are:

**Application Elements**

1. ☐ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 28 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

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**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*  
a. ☐ Formal      b. ☒ Informal      Number of Sheets 30
4. ☒ Oath or Declaration  
a. ☒ Newly executed *(original or copy)*      ☐ Unexecuted  
b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*  
c. ☒ With Power of Attorney      ☐ Without Power of Attorney  
d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche
7. ☐ Genetic Sequence Submission *(if applicable, all must be included)*  
a. ☐ Paper Copy  
b. ☐ Computer Readable Copy  
c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☒ Assignment Papers *(cover sheet & documents)*
9. ☐ 37 CFR 3.73(b) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449      ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing  
☐ First Class      ☒ Express Mail *(Specify Label No.):* EL130206774US

# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
D-8139

Total Pages in this Submission

## Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Small Entity Statement(s) - Specify Number of Statements Submitted: ONE (1)
17. ☐ Additional Enclosures (please identify below):

## Fee Calculation and Transmittal

### CLAIMS AS FILED

| For  | #Filed | #Allowed | #Extra | Rate      | Fee      |
|--|--------|----------|--------|-----------|----------|
| Total Claims   | 36     | - 20 =   | 16     | x \$9.00  | \$144.00 |
| Indep. Claims  | 3      | - 3 =    | 0      | x \$40.00 | \$0.00   |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> |        |          |        |           | \$0.00   |
| BASIC FEE  |        |          |        |           | \$355.00 |
| OTHER FEE (specify purpose) <u>Recordation Form Cover Sheet</u>          |        |          |        |           | \$40.00  |
| TOTAL FILING FEE   |        |          |        |           | \$539.00 |

- ☒ A check in the amount of **\$564.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **03-2030** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: 11/1/00



Signature

David L. Hoffman, Reg. No. 32,469  
Cislo & Thomas LLP  
233 Wilshire Boulevard, Suite 900  
Santa Monica, California 90401  
Tel: (310) 451-0647  
Fax: (310) 394-4477  
www.cislo.com

cc:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

FINN, Bruce L. and LEE, Robert E.

Serial No.: Filed herewith

Filed: Filed herewith

For: FOLDABLE MODULAR LIGHT DIFFUSION BOX

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BOX PATENT APPLICATION

Hon. Commissioner of Patents

and Trademarks

Washington, D.C. 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mailing Label No. EL130206774US

Deposited November 1, 2000

Dear Sir/Madam:

Enclosed herewith are the following:

1. Transmittal Letter for a new (continuation) utility patent application under 37 CFR §1.53 (3 pages);
2. Patent Application (cover plus 28 specification pages; 6 pages of claims, 1-page abstract, and 30 pages of formal drawings);
3. Declaration and Power of Attorneys for Patent Application (3 pages);
4. Verified Statement (Declaration) Claiming Small Entity Status executed by Bruce Finn as Assignee (2 pages);

007097-65940260

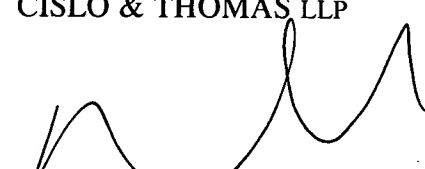
5. Information Disclosure Form 1449, Information Disclosure Statement,  
and copies of non-patent references;
6. Assignment Recordation Cover Sheet and Assignment;
7. Check for \$539.00; and
8. Acknowledgment postcard.

I hereby certify that these papers are being deposited with the U.S. Postal Service  
"Express Mail Post Office to Addressee" service under 37 C.F.R. Section 1.10 on the date  
indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington,  
D.C. 20231.

Respectfully submitted,

CISLO & THOMAS LLP

Date: November 1<sup>st</sup>, 2000

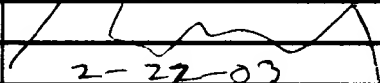
  
\_\_\_\_\_  
Daniel M. Cislo  
Reg. No. 32,973

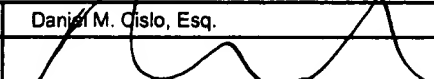
CISLO & THOMAS LLP  
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   |                      |                                       |
|---|----------------------|---------------------------------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number   | 09/704,639                            |
|   | Filing Date          | November 1, 2000 (CPA: Dec. 12, 2002) |
|   | First Named Inventor | FINN, Bruce                           |
|   | Art Unit             | 2875                                  |
|   | Examiner Name        | NEGRON, Ismael                        |
| Total Number of Pages in This Submission  | 78                   | Attorney Docket Number D-8139         |

| ENCLOSURES (Check all that apply)  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Drawing(s) (Amended Fig. 6 and Formal Drawings 1-43)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Request to Amend<br><input checked="" type="checkbox"/> Petition Inventorship<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input checked="" type="checkbox"/> Terminal Disclaimer (2)<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>(1) Preliminary Amendment<br>(2) Check for fees as follows. |
| <b>Remarks</b><br>Fees (see attached Fee Determination Record)<br>Excess claims fee = \$474.00 (48 extra claims, 1 independent claim)<br>Terminal disclaimer fees = \$110.00 (2 disclaimer forms)<br>Information Disclosure Statement = \$180.00 (incl. Citation, copy of reference)<br>Request to Amend Inventorship = \$130.00 (Petition fee)<br>Total = \$894.00<br>The Patent Office is hereby authorized to charge any additional fees or credit any overpayments to Applicant's attorneys' deposit account no. 03-2030.  |   |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual                         | Daniel M. Cisló, Esq.<br>CISLO & THOMAS LLP, 233 Wilshire Boulevard, Suite 900, Santa Monica, California 90401<br>Attorneys for Applicant BRUCE FINN |
| Signature                                  |   |
| Date                                       | 2-22-03  |

| CERTIFICATE OF TRANSMISSION/MAILING  |   |      |                   |
|--|---|------|-------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 22, 2003 |   |      |                   |
| Typed or printed   | Daniel M. Cisló, Esq.   |      |                   |
| Signature  |  | Date | February 22, 2003 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 894.00

## Complete if Known

|                      |                                       |
|----------------------|---------------------------------------|
| Application Number   | 09/704,639                            |
| Filing Date          | November 1, 2000 (CPA: Dec. 12, 2002) |
| First Named Inventor | FINN, Bruce                           |
| Examiner Name        | NEGRON, Ismael                        |
| Art Unit             | 2875                                  |
| Attorney Docket No.  | D-8139                                |

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
03-2030Deposit Account Name  
Cislo & Thomas LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid  |
|----------------------------|----------------------------|------------------------|-----------|
| 1001 750                   | 2001 375                   | Utility filing fee     |           |
| 1002 330                   | 2002 165                   | Design filing fee      |           |
| 1003 520                   | 2003 260                   | Plant filing fee       |           |
| 1004 750                   | 2004 375                   | Reissue filing fee     |           |
| 1005 160                   | 2005 80                    | Provisional filing fee |           |
| SUBTOTAL (1)               |                            |                        | (\$) 0.00 |

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 84                 | -20** = 48   | 9.00           | 432.00   |
| Independent Claims | 4            | -3** = 1.00    | 42.00    |
| Multiple Dependent |              |                |          |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid    |
|----------------------------|----------------------------|--|-------------|
| 1202 18                    | 2202 9                     | Claims in excess of 20                                     |             |
| 1201 84                    | 2201 42                    | Independent claims in excess of 3                          |             |
| 1203 280                   | 2203 140                   | Multiple dependent claim, if not paid                      |             |
| 1204 84                    | 2204 42                    | ** Reissue independent claims over original patent         |             |
| 1205 18                    | 2205 9                     | ** Reissue claims in excess of 20 and over original patent |             |
| SUBTOTAL (2)               |                            |  | (\$) 474.00 |

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity Fee Code (\$)                         | Small Entity Fee Code (\$) | Fee Description  | Fee Paid    |
|--|----------------------------|--|-------------|
| 1051 130   | 2051 65                    | Surcharge - late filing fee or oath  |             |
| 1052 50  | 2052 25                    | Surcharge - late provisional filing fee or cover sheet                     |             |
| 1053 130   | 1053 130                   | Non-English specification  |             |
| 1812 2,520   | 1812 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |             |
| 1804 920*  | 1804 920*                  | Requesting publication of SIR prior to Examiner action                     |             |
| 1805 1,840*  | 1805 1,840*                | Requesting publication of SIR after Examiner action                        |             |
| 1251 110   | 2251 55                    | Extension for reply within first month                                     |             |
| 1252 410   | 2252 205                   | Extension for reply within second month                                    |             |
| 1253 930   | 2253 465                   | Extension for reply within third month                                     |             |
| 1254 1,450   | 2254 725                   | Extension for reply within fourth month                                    |             |
| 1255 1,970   | 2255 985                   | Extension for reply within fifth month                                     |             |
| 1401 320   | 2401 160                   | Notice of Appeal   |             |
| 1402 320   | 2402 160                   | Filing a brief in support of an appeal                                     |             |
| 1403 280   | 2403 140                   | Request for oral hearing   |             |
| 1451 1,510   | 1451 1,510                 | Petition to institute a public use proceeding                              |             |
| 1452 110   | 2452 55                    | Petition to revive - unavoidable   |             |
| 1453 1,300   | 2453 650                   | Petition to revive - unintentional   |             |
| 1501 1,300   | 2501 650                   | Utility issue fee (or reissue)   |             |
| 1502 470   | 2502 235                   | Design issue fee   |             |
| 1503 630   | 2503 315                   | Plant issue fee  |             |
| 1460 130   | 1460 130                   | Petitions to the Commissioner  | 130.00      |
| 1807 50  | 1807 50                    | Processing fee under 37 CFR 1.17(q)  |             |
| 1806 180   | 1806 180                   | Submission of Information Disclosure Stmt                                  | 180.00      |
| 8021 40  | 8021 40                    | Recording each patent assignment per property (times number of properties) |             |
| 1809 750   | 2809 375                   | Filing a submission after final rejection (37 CFR 1.129(a))                |             |
| 1810 750   | 2810 375                   | For each additional invention to be examined (37 CFR 1.129(b))             |             |
| 1801 750   | 2801 375                   | Request for Continued Examination (RCE)                                    |             |
| 1802 900   | 1802 900                   | Request for expedited examination of a design application                  |             |
| Other fee (specify) Terminal Disclaimers (2 total) |                            |  | 110.00      |
| *Reduced by Basic Filing Fee Paid                  |                            |  |             |
| SUBTOTAL (3)                                       |                            |  | (\$) 420.00 |

## SUBMITTED BY

Name (Print/Type) Daniel M. Cislo, Esq.

Registration No. 32,973  
(Attorney/Agent)

(Complete if applicable)

Telephone (310) 451-0647

Signature

Date 2/27/03

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.